
Air Quality Management Planning (AQMP)

Bjarne Sivertsen

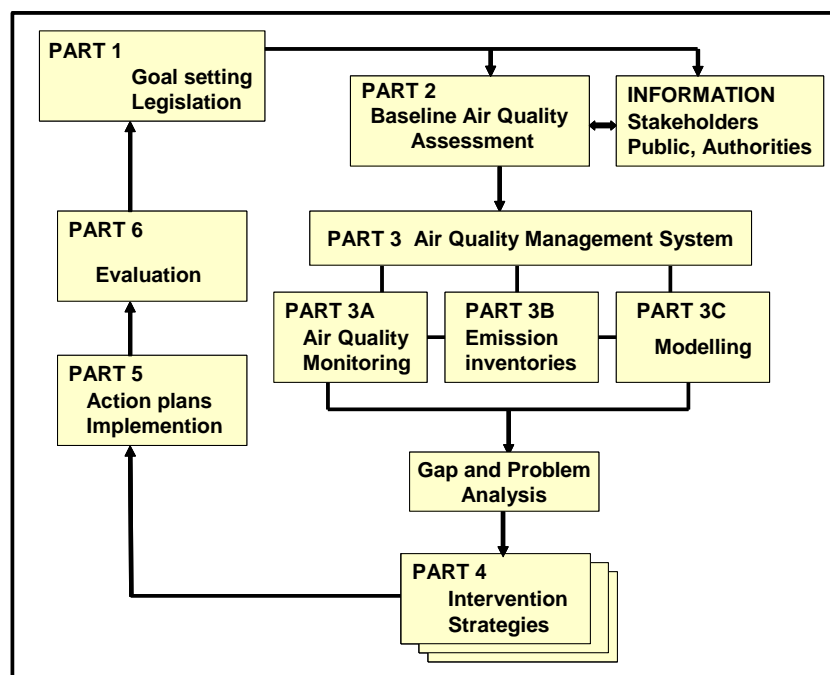


Lecture

AIR QUALITY MANAGEMENT PLANNING (AQMP)

Presented at: The Third WeBIOPATR Workshop.
Serbia 15-18 November 2011

Bjarne Sivertsen



Air quality management planning (AQMP)

1 Abstract

The main purpose of the AQMP development process is to establish an effective and sound basis for planning and management of air quality in the selected area. This type of planning will ensure that significant sources of impacts are identified and controlled in a most cost-effective manner. The best air quality management tools and practices may be used in order to assure the most adequate solutions. The ultimate goal will thus be to assure that health effects and impact on building materials and the environment will be avoided in the future.

The development of the AQMP will take into account:

- Air Quality Management System (AQMS) requirements
- Operational and functional structure requirements
- Source identification through emission inventories
- Source reduction alternatives, which may be implemented
- Mechanisms for facilitating interdepartmental cooperation in order to assure that actions are being taken
- Institutional building and training requirements

Important elements of the AQMP is the identification of sources and development of a complete emission inventory, the development and operations of an air quality monitoring programme and the development and application of dispersion models.

Major tasks in this work are to collect the necessary input data. The programme starts with preliminary assessments based on available data and the identification of zones into which the country will be divided. We assume that the setting of standards and regulations is already available.

This presentation will guide you through the different parts of the air quality management and planning procedures. In most large cities in the world particulate matter is often the main problem and represents a main challenge in the AQMP process.

2 Key words:

Air quality management, Emissions, Modelling, Impact, Training.

3 Introduction

Urban air pollution is a serious problem worldwide. It is especially serious in the many mega-cities of Asia. The gravity of the urban air pollution problem is largely attributed to the complex and multi-sectoral nature of everyday air polluting activities as well as the inadequate actions of governments. The lack of actions by governments is further due to poor information and weak understanding of the air pollution problems and, in addition, lack of institutional capacity and coordination among government agencies in the various sectors contributing to air pollution.

The Air Quality Management Plan (AQMP) describes the present state of urban air quality and how it has been changing over recent years, and what could be done to ensure clean air quality in a region. It provides goals and objectives for a region and prescribes short- and long - term policies and controls to improve air quality. An early description of the planning process was described in the URBAIR project by NILU in 1996 [¹].

The objective of the project was to develop Action Plans for air quality improvement in each of the four cities. The action plans were to be based upon cost/benefit or cost-effectiveness analysis, so that the air quality could be improved to a certain target level at least cost. The concept used in URBAIR was to combine air quality assessment based upon monitoring data and modelling of air pollution and exposure, assessment of the health damage (using dose-response relationships) and the related costs (based upon local cost data), analysis of control options and their costs, and prioritising the control measures through comparison of control costs and the related reduced health costs, choosing the ones with highest benefit/cost ratio [²].

An AQMP thus sets a course of action that will attain air quality goals in a specified geographical area. Several studies implementing the AQMP approach have been reported [³, ⁴, ⁵]. The AQMP requires actions by government, business, industry, NGO's and the population, as its success will depend on support from all these segments.

4 Introduction to the AQMP Process

The Air Quality Management System (AQMS) used in the planning process depends on the following set of technical and analytical tasks:

- creating an inventory of polluting activities and emissions;
- monitoring air pollution and dispersion parameters;
- calculating air pollution concentrations with dispersion models;
- assessing exposure and damage;

- estimating the effect of abatement and control measures;
- establishing and improving air pollution regulations and policy measures.

These activities, and the institutions necessary to carry them out, constitute the prerequisites for establishing the AQMS. The air quality management system developed by NILU [6] has been installed and applied in several cities around the world.

An AQMP describes the current state of air quality in an area, how it has been changing over recent years, and what could be done to ensure clean air quality in a region. The development and implementation of an AQMP is a dynamic process involving the following six steps:

- Goal setting
- Baseline air quality assessment
- Air quality management system (AQMS)
- Intervention strategies
- Action plans implementation
- Evaluation and follow up

A typical complete description of the process was prepared as a draft implementation plan [7].

The linkages between these steps are indicated in Figure 1 below.

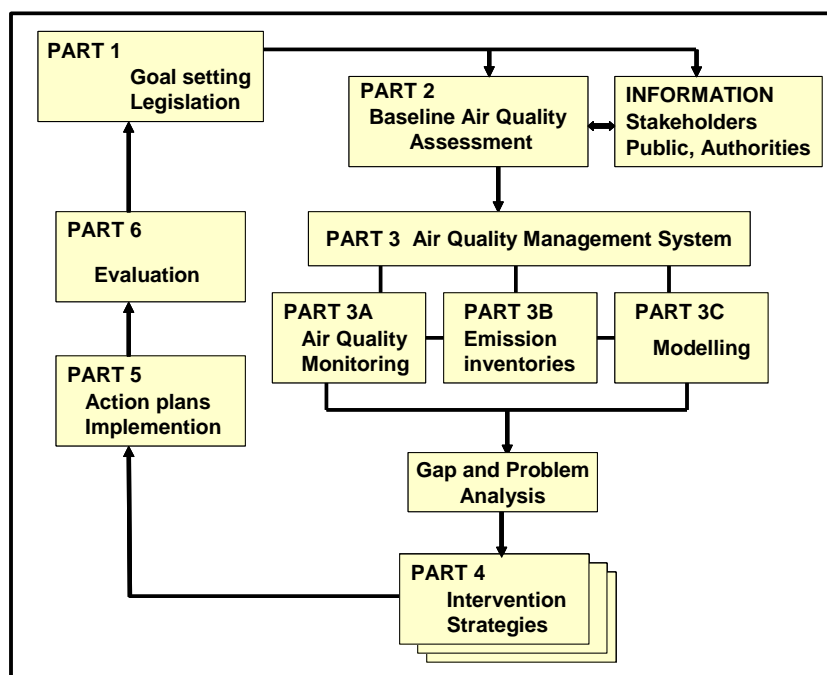


Figure 1: The AQMP process as presented in Steps 1 to 6.

The baseline preliminary assessment was described under the EU air quality directives already in 1998 [8]. The content of the whole process is briefly indicated in the following.

4.1 Air Quality goal setting

The setting of goals in the AQMP may include:

- Identifying primary and secondary pollutants of concern:
 - a) health related b) environmental impact related
- Assessing regional issues
 - a) acid rain b) regional ozone c) transboundary problems
- Global issues such as greenhouse gases and persistent organic pollutants
- Indoor exposure

4.2 Emission inventories

The knowledge of sources and emissions is crucial as a basis for the planning process, and the following should be considered:

- Identifying air pollution sources on different levels;
 - a) National b) Provincial c) Municipal d) Industries

- Collection of data on production, consumption and emission factors for various sources:
 - a) stationary sources b) mobile sources c) natural and biogenic sources
- Preparation and use of emission models for estimating source data input into dispersion models
- Estimation of trends in emissions and forecasting emissions

4.3 Monitoring

An ambient air quality monitoring programme supplies input to the air quality assessment as well as input for developing and verifying the modelling tools used in planning. It may be necessary to develop a comprehensive monitoring network at the following levels: a) National level all scales b) Province level c) Municipal level d) local city level and industrial impact areas.

This ambient air monitoring system should ensure that the following is prescribed in the development of the system:

- Quality assurance and quality control procedures
- Availability of data to stakeholders
- Adequate meteorological data are available
- Air quality assessment and provision of statistics and reporting requirements including trend analyses
- Inclusion of all relevant pollutants relevant to different scales and sources
- Identification of specific issues such as:
 - a) hot spot areas b) adverse exposure problems
- Verification of compliance with goals, regulations and standards.

4.4 Modelling

An air quality model is a mathematical technique that produces an estimation of ambient air quality characteristics of an air pollutant within a specified area.

Different types of models such as; a) dispersion models b) impact models c) economic models d) cost/benefit analyses can be used in the estimation of ambient air quality. An important part of the tools used in planning include:

- Developing and establishing relevant models for all parts of the air quality assessment;

- Verifying that urban and regional scale models for transport, dispersion and transformation have been made available and tested/verified against measurement data;
- Applying the models in order to:
 - a) identify key sources
 - b) establish emission/ exposure relationship
 - c) future projections and impact assessment
 - d) evaluate the effect of control strategies and select optimal abatement strategies in order to achieve air quality goals

4.5 Identify measures and control options

Once the current air quality has been assessed it may be necessary to identify actions and control options in order to reduce the pressure and impact on the environment. This part of the integrated AQMP may include but not limited to:

- Establishing detailed emission inventories as basis for control options
- Specifying controls related to National, Regional and Municipal requirements
 - source and emission standards
 - pollutants specific to National, Regional and local technology control requirements
 - health based needs for regulations
 - economic incentives
- Mobile source control requirements
 - engine performance
 - exhaust gas control
 - fuel requirements
- Specific priority area control requirements
- Issue of legally enforceable operation permits

4.6 Implementation

An implementation strategy is a plan to provide for the implementation, the maintenance, and the enforcement of desired environmental standards. The following tasks may need to be undertaken:

- Implementation strategy developed into an implementation plan by the relevant stakeholder
- The implementation plan may include:
 - a) Identification of sources and areas of impact and concern,
 - b) Quantification of emission and air quality changes anticipated
 - c) Emission limits and work practices which comprise the compliance strategy including how compliance will be determined in practice.
- Implementation measures may include among other options:
 - Source specific emission regulations
 - Product related regulations
 - Mobile source engine and fuel performance regulations
 - Mobile source exhaust gas and evaporation loss control
 - Public transportation alternatives
- Necessary institutional building, education and public awareness campaign

The implementation plan must be enforceable, measurable, and transparent, listing reporting requirements, compliance dates and schedules.

4.7 Evaluation of changes and impact trends

In order to evaluate implementation of the plan in a given area, it may be necessary to:

- Establish expert institutions, perform training and provide instruments and tools;
- Evaluate the effect of measures and controls using measurements and models;
- Use different methods and tools in the evaluation such as:
 - source surveillance
 - ambient air concentration monitoring downwind from the sources
 - checking and updating control plans and modifying where necessary
 - evaluating short term, medium and long term reduction measures and the impact on air quality of these measures.

4.8 Information to the public

Public consultation should be an integral part of the AQMP development process, and it should preferably be a policy of the regulatory body.

If an AQMP is to be developed and implemented, it must be based on input from stakeholders including industry, numerous groups and individuals

5 Particulate matter and the AQMP process

5.1 Sources

Particulate Matter (PM) is emitted directly from ‘primary’ sources (primary PM) and is also formed in the atmosphere by reaction of precursor gases (secondary PM). Other common distinctions are natural/anthropogenic sources and combustion/non-combustion sources.

Normally the largest contributions of PM₁₀ emissions come from sectors such as the energy and industry sectors, with a relatively smaller contribution from road transport. The road transport sector contributes with both vehicle exhaust particles and resuspension of road dust.

When undertaking AQMP related to PM the emission estimates from non-combustion sources have a considerable degree of uncertainty. PM₁₀ emissions are dominated by sectors including road transport, industry and fuel combustion in the residential sector. It is thus important to be able to estimate the contribution from “natural” sources. The total ambient particulate also comes from a variety of natural sources, which vary widely from area to area and with time. These include sea salt (especially important in coastal regions), crustal material arising from natural erosion processes (especially important in dry regions) and biological material. Volcanic eruptions are an example of a natural source that may contribute to the total PM impact in a given area.

5.2 PM assessment

In the early assessment phase it may be possible to estimate the relative importance of the different PM sources from measurement data using source apportionment techniques. In Europe the First Daughter Directive required all Member States to assess the ambient concentrations of PM₁₀ throughout their territory. The assessment should be based on monitoring at a considerable number of sites and may be supplemented by modelling. Measurements of PM_{2.5} at a limited number of sites are also required [9].

The assessment phase includes:

- Monitoring of air pollutants, using good network design procedures.
- Inventorying of pollution sources, their technology, location and emissions.
- Assessing the spatial and temporal distribution of the pollutant concentrations and population exposure, using dispersion models.
- Determining the contributions from the various sources and source categories, using dispersion and receptor models.

5.3 Intervention strategies

Developing scenarios for future development, and calculating the future projected air pollution development includes:

- Assessing the control options, (technical, economic, political feasibility) and costs.
- Calculating cost-benefit ratios for the options, as the basis for developing cost-effective control strategies.
- Implementing the control strategies, including financing and setting a time frame. Identifying and developing Action plans
- Enforcing the policies and regulations needed to implement the strategies.

At the end point it will be needed to evaluate the cost for each of the reduction measures implemented in order to reduce the burden of PM emissions on people and nature.

5.4 Cost-benefit analyses

5.4.1 *Cost estimated actions*

The Cost-benefit analyses (CBA) are a highly interdisciplinary task. The CBA should provide a benefit-cost ratio based on monetarised costs and benefits, and be accompanied by a description of the non-monetarised items that also should be considered [10].

5.4.2 *PM and health*

Impacts on human health from particulate matter (PM) pollution have been recognized as one of the most serious environmental problems. Exposure information to PM is essential for policymakers to identify the potential risk group and to develop appropriate risk reduction measures. Epidemiological studies of PM routinely use concentrations measured with stationary outdoor monitors as surrogates for population exposure, and the epidemiologic associations between ambient concentrations and health effects depend on the correlation between ambient concentrations and exposure to ambient-generated PM [11].

The various potential health effects are defined by their 'end-point'. Impacts may be morbidity, i.e. can have impacts upon healthiness and well-being, or can be mortality, i.e. can have fatal consequences. The CAFÉ CBA [12] has for PM impacts limited itself to mortality impacts as follows:

- Chronic mortality from PM
- Infant mortality from PM

Below is shown a summary statistics, mean and 95% confidence interval (2.5% to 97.5%) for assessment of PM mortality impacts, expressed either as deaths or Years of Life Lost (YOLL).

	Deaths per person $\mu\text{g}/\text{m}^3$	YOLs per person $\mu\text{g}/\text{m}^3$
2.5 %	2.2 E-05	2.1 E-04
Mean	6.0 E-05	6.5 E-04
97.5 %	1.0 E-04	1.1 E-03

In a summary report concerning dose response functions NILU [¹³] The following is proposed:

$$\text{Increase in incidence} = 6\% / 10\mu\text{g m}^{-3} \text{ PM}_{2.5}. \text{ (95\% CI 2-11\%)}$$

The preferred unit of expression for this is as life years lost.

Coefficients derived for Beijing are found to compare with estimates for Delhi [¹⁴], but to be an order of magnitude lesser than the 6% coefficient estimated for Europe:

$$\begin{aligned} \text{Increase in incidence} &= 0.6\% / 10\mu\text{g m}^{-3} \text{ PM}_{2.5} \quad \text{Beijing} \\ &= 0.67\% / 10\mu\text{g m}^{-3} \text{ PM}_{2.5} \quad \text{Delhi} \end{aligned}$$

For all cause mortality Aunan [¹⁵] estimated the following for PM_{10} :

$$\text{Increase in incidence} = 0.3 \% \text{ per } 10 \mu\text{g}/\text{m}^3 \text{ PM}_{10}, \text{ China}$$

There is a magnitude difference between Europe and China, which may lie in the fact that the case studies in each region examined different parts of the dose-response curve.

5.4.3 Effects and costs

The environmental burden of disease quantifies the amount of disease caused by environmental risks. Disease burden can be expressed in deaths, incidence or in Disability-Adjusted Life Years (DALY). The latter measure combines the burden due to death and disability in a single index. Using such an index permits the comparison of the burden due to various environmental risk factors with other risk factors or diseases.

Additional information required for the rational development of policies by the health sector and activities of other sectors which directly manage or influence the determinants of health includes:

- the effectiveness and cost-effectiveness of interventions
- the availability of resources
- the type of policy environment

5.5 Surveillance and monitoring

The air quality management plan should be followed up by monitoring and surveillance. Information to the public, to authorities and stakeholders is important. It may require developing institutions, institutional building and training.

Establishing an Air Quality Information System for dissemination of air pollution data giving the public and decision makers the opportunity to protect against high pollution. Long-term operation of the air pollution monitoring network, to follow the changes in the situation, to check if control strategies have the necessary effects so that air quality standards are not breached [¹⁶].

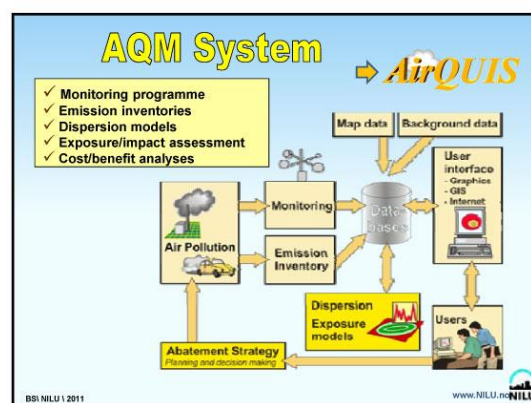
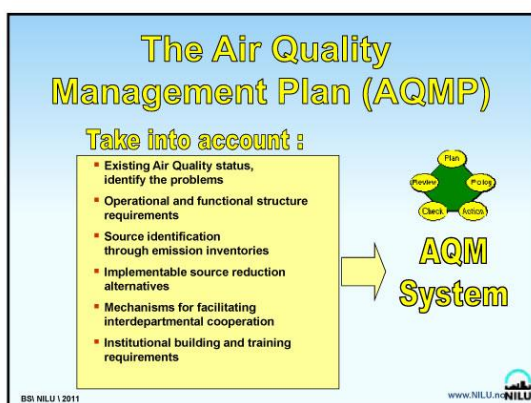
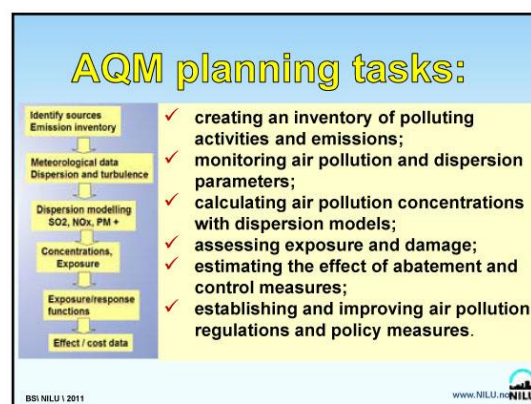
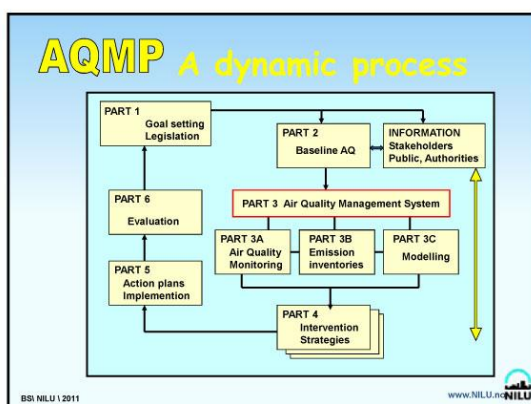
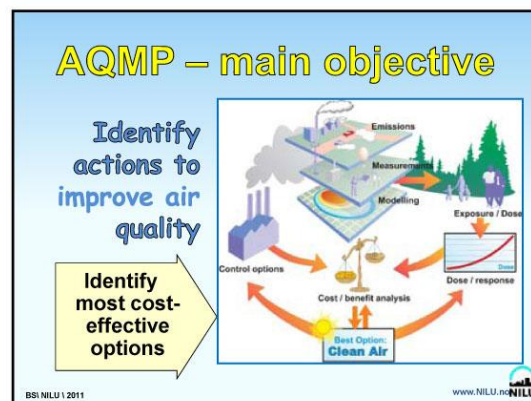
6 References

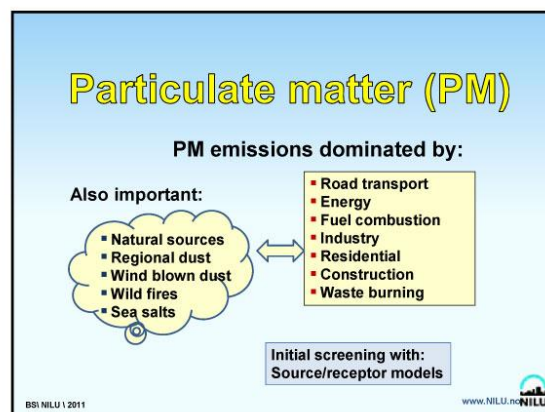
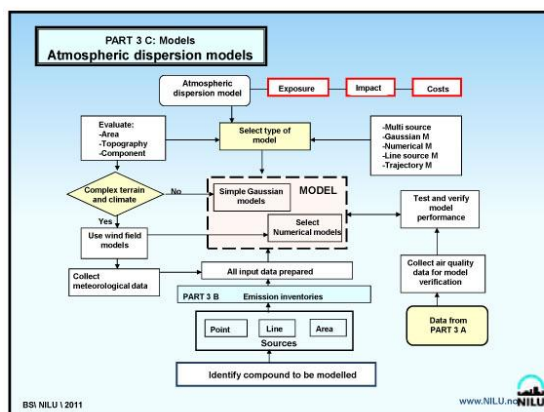
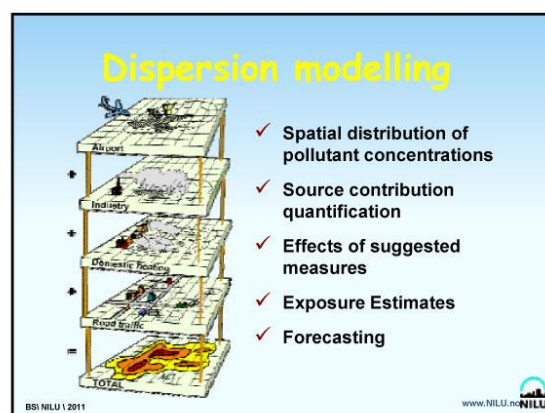
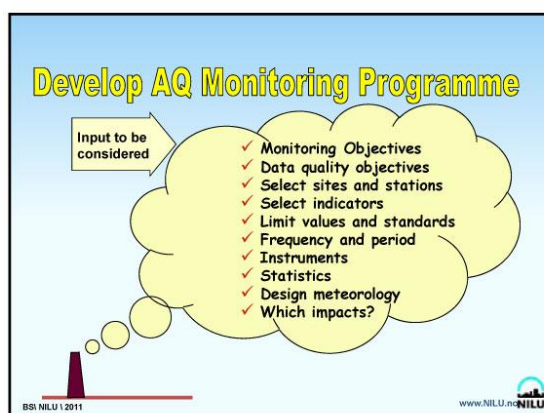
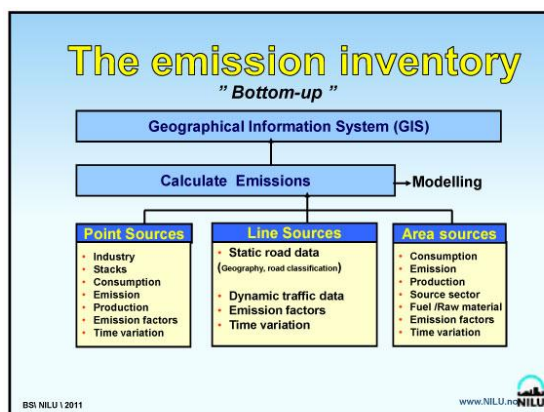
1. Larssen S, Shah J., Jansen H. And Olsthoorn X. (2000) A systematic Approach to Air Quality Management in Asian Cities: Examples from the Urbair Cities. Kjeller February 2000, (NILU f 11/2000).
2. The World Bank (1997) Urban air quality management strategy in Asia. Guidebook. Ed. by: Shah, J.J., Negpal, T., Brandon, C.J. Washington, D.C., The World Bank.
3. Sivertsen, B. and Bøhler, T. (2000) On-line Air Quality Management System for Urban Areas in Norway. Presented at the seminar "The air of our cities ... it's everybody's business", Paris, 16-18 February 2000. In: Proceedings. Paris, Marie de Paris, Environment. Fourth theme, paper D6, pp. 44-45 (NILU F 4/2000).
4. Sivertsen, B. (2007a) Application of urban air quality management tools in World Bank projects: Lessons from more than a decade of Norwegian experience. World Bank, Washington, 16 April 2007 (Air Quality Thematic Group BBL Series). Kjeller (NILU F 60/2007).
5. Sivertsen, B. and Dudek, A. (2007) Integrated air quality management in urban areas: simplified methods. Presented at: The 18th IUAPPA World Clean Air and Environmental Protection Congress in Brisbane, Australia, 9-13 September 2007. Kjeller (NILU F 20/2007).
6. AirQUIS (2007). www.airquis.no. [Accessed 1.8.2010].
7. Sivertsen, B. (2007b) AQA/AQMP project; Air Quality Management Plan , Draft Implementation Manual. Kjeller (NILU OR 52/2007).
8. Van Alst et. Al. (1998) The Guidance Report on Preliminary Assessment under the EU air quality Directives:
<http://www.europa.eu.int/comm/environment/air/ambient.htm>, click on Guidance report on Preliminary Assessment under EU Air Quality Directives
9. EU (2004) Second Position Paper on Particulate Matter, CAFE Working Group on Particulate Matter. December 20th, 2004.
10. Sivertsen, B. and Bartonova, A.(2010) Co-benefit and co-control studies in Norway. Chem. Ind. Chem. Eng. Quart., 16, 281-286.
11. Pope, C.A., Thun, M.J., Namboodiri, M.M., Dockery, D.W., Evans, J.S., Speizer, F.E. and Heath, C.W. (1995) Particulate air-pollution as a

- predictor of mortality in a prospective-study of U.S. adults. *Am. J. Respir. Critical Care Med.*, 151, 669-674.
12. Holland M., Hurley F., Hunt A and Watkiss P. (2005) Methodology Paper (Volume 3) for Service Contract for carrying out cost-benefit analysis of air quality related issues, in particular in the clean air for Europe (CAFE) programme. ENV.C.1/SER/2003/0027.
 13. Barrett K. and Sivertsen B (2008) Dose-response in air pollution management. A review for application in AQMS. Kjeller (NILU OR 15/2008).
 14. Cropper M.L., Simon N.B., Alberini A. And Sharma P.K (1997) The Health Effects of Air Pollution in Delhi, India. World Bank Development Research Group , Wash 1997
 15. Aunan K. and Pan, X-C. (2004) Exposure-response functions for health effects of ambient air pollution applicable for China – a meta analyses. *Sci.Total Environ.*, 329, 3-16.
 16. World Health Organization (2005) WHO air quality guidelines global update 2005.

Appendix A

Presentation



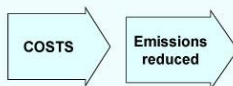


Source reductions - Action plans

Possible actions:

- Introduction of scrubbers;
- Shifting to renewable energy (or low sulphur fuel);
- Introduction of cleaner technology;
- Implementation of process equipment changes and process changes;
- Improvement of operating practice;
- Ensuring regular maintenance; and
- Ensuring maximum energy conservation

- ✓ Actions defined
- ✓ Cost of actions
- ✓ Reduced emissions
- ✓ Reduced exposure



BSI NILU 1 2011

www.NILU.no

AQMS → Action Plan

AQM tools

- Monitoring / air pollution and meteorology
- Surveys / emissions inventory
- Modelling / air quality and exposure
- Guidelines / Regulations
- Cost Analysis
- Air Quality Information System

assessment

Reduction measures:

- Mobile sources (traffic)
- Stationary sources
- Processes, industries
- Waste handling
- Renewable energy
- Residential sources
- Use of coal

Short term – medium
- long term actions

area specific !

BSI NILU 1 2011

www.NILU.no

Prioritise based on:

- ### Cost of Actions
- Sources – Strategies – Technologies
 - ✓ Update emission data
 - ✓ Validate cost
 - ✓ Additional technology
 - ✓ Policy options - compliance date
 - ✓ Dynamic analyses

Exposure response:

Mortality from chronic exposure: 4 % / 10 $\mu\text{g}/\text{m}^3$ PM_{10} (infant; 0-1 yr)
 Increase mortality chronic exposure: 6% / 10 $\mu\text{g}/\text{m}^3$ PM_{10} (Pope et al)
 Respiratory hospital admission: 1 % / 10 $\mu\text{g}/\text{m}^3$ PM_{10} (0-64 yrs)

Value of reduced impacts

Loss of Workhours – illness – death

Cost – benefit !

- A large number of health end points and pollutants
- Chronic mortality from PM
 - Infant mortality from PM
 - Acute mortality from ozone
 - Morbidity impacts from PM
 - Morbidity impacts from ozone

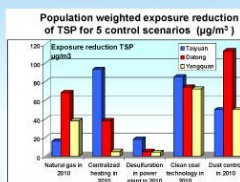
BSI NILU 1 2011

www.NILU.no

Cost and benefits

Six steps in the process

1. Identify the population and stock/assets at risk due to pollution
2. Determine the number of people and objects that are exposed to ambient pollution that exceeds standards or guidelines.
3. Identify relevant dose-response functions
4. Calculate marginal physical impact
5. Determine monetary values per unit of physical impact
6. Calculate the monetary value of benefits/damage



Model estimated exposure reductions in 3 cities

Larsson et al.

BSI NILU 1 2011

www.NILU.no

費用效益分析 Cost benefit analysis

针对SO₂和TSP的不同控制方案的費用效益分析列表比较 (太原)
 A comparison of cost-benefit of various control options for SO₂ in Taiyuan

Control option	Conc red. $\mu\text{g}/\text{m}^3$	Cost benefit ratio	
		negative payback	positive payback
Centralized heating	52.0		
Natural gas utilization	20.0		
Clean coal technology	6.2		
Desulphurization in pow	6.5		
Productivity policies	5.8		

Similar analyses for TSP indicate same top two control options

BSI NILU 1 2011

www.NILU.no

Information to the public



The Web page contents:

- Pollution status now
- Forecast
- Pollution chart
- Map of stations
- Access to:
 - Statistics
 - SMS/ e-mail services
 - Station pages

BSI NILU 1 2011

www.NILU.no

Organising the web portal

Public pages web portal

Admin pages

National

City

Station

BSI NILU | 2011

www.NILU.no

Capacity building

- Institutional building and training
- Understanding the issues, local and global
- Tools and equipment
- Assure sustainability!

Future needs and priorities

Tools – Policy – Actions – Follow-up

BSI NILU | 2011

www.NILU.no

Concluding remarks

A valuable support tool for decision makers !

In spite of uncertainties in some input data: (emission inventory, e-factors, meteorology) :

The planning tools are able to:

- ✓ Estimate source importance
- ✓ Exposure to the population (future)
- ✓ Relative exposure from traffic or from selected sources
- ✓ Impact of planned actions
- ✓ Estimate greenhouse gas emissions

Needs input data !

BSI NILU | 2011

www.NILU.no

BSI NILU | 2011

www.NILU.no

REFERENCE: E-106057
DATE: NOVEMBER 2011

NILU is an independent, nonprofit institution established in 1969. Through its research NILU increases the understanding of climate change, of the composition of the atmosphere, of air quality and of hazardous substances. Based on its research, NILU markets integrated services and products within analyzing, monitoring and consulting. NILU is concerned with increasing public awareness about climate change and environmental pollution.